

PATENT APPLICATION TRANSMITTAL LETTER  
(Small Entity)

Docket No.  
Traderprofile-1

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Tony Defarlo

For: DATA ANALYSIS SYSTEM FOR TRACKING FINANCIAL TRADER HISTORY AND PROFILING TRADING

Enclosed are:

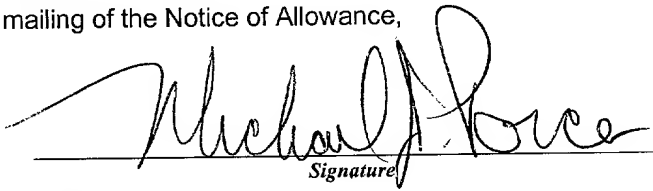
- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL852133066US  
☒ eighteen (18) sheets of drawings.  
☐ A certified copy of a application.  
☒ Declaration ☒ Signed. ☐ Unsigned.  
☒ Power of Attorney  
☒ Information Disclosure Statement  
☐ Preliminary Amendment  
☒ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.  
☒ Other: Form PTO-1449; Information Disclosure Statement and prior references.

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	26	- 20 =	6	x \$9.00	\$54.00
Indep. Claims	2	- 3 =	0	x \$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
TOTAL FILING FEE					\$409.00

- ☒ A check in the amount of \$409.00 to cover the filing fee is enclosed.  
☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.  
☐ Charge the amount of as filing fee.  
☒ Credit any overpayment.  
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.  
☒ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: March 27, 2001

  
Signature  
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